

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under  
37 C.F.R. 1.53(b))

Attorney Docket No.

0399.11.06

First Named Inventor or  
Application Identifier

Richard A. Young

Express Mail Label No.

EL551545442US

Title of  
Invention

USE OF HEAT SHOCK PROTEINS TO DELIVER MOIETIES INTO CELLS

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

COPY OF PAPERS  
ORIGINALLY FILED

1. ☐ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification **[Total Pages - 36 ]**  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to microfiche Appendix
  - Background of the Invention
  - Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) **[Total Sheets - 4 ]**  
☐ Formal ☒ Informal  
☒ Fig. of the Drawings for Publication ☐ none
4. ☒ Oath or Declaration/POA **[Total Pages - 3 ]**
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 17 completed)  
**[NOTE Box 5 below]**
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior  
application, see 37 C.F.R. 1.63(d)(2)  
and 1.33(b).
5. ☒ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b, is  
considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)  
**[ ] Pages**
  - c. ☐ Statement verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents)  
☒ Assignee - Whitehead Institute for Biomedical Research  
Nine Cambridge Center, Cambridge, Massachusetts 02142
9. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior application,  
Statement(s) status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request (check parent application)
17. ☒ Other: Remarks Letter

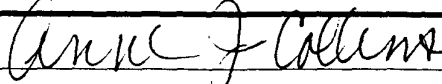
18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/025,178

Prior application information: Examiner: F. Pierre Vander Vegt Group Art Unit: 1644

### 19. CORRESPONDENCE ADDRESS

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Submitted by Typed or Printed Name	Anne J. Collins	Reg. Number	40,564

AJC:jcc  
01/08/01

PATENT APPLICATION  
Attorney's Docket No.: 0399-1185-0

1-639 U.S. PTO  
09/756543  
01/08/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard A. Young

Continuation Application of

Application No.: 09/025,178

Filed: February 18, 1998



COPY OF PAPERS  
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For: Use of Heat Shock Proteins to Deliver Moieties Into Cells

Date: <u>1/8/01</u> EXPRESS MAIL LABEL NO. <u>EL551545442US</u>
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REMARKS

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The above-captioned application is a continuation of application number 09/025,178 filed on February 18, 1998 to which priority is claimed under 35 U.S.C. 120.

The related applications paragraph has been revised to include the parent application. No new matter has been added.

02/26/2001 SSANDARA 00000074 09756543

02 FC:126

180.00 DP

Respectfully submitted,

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By

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Dated:

*January 8, 2001*